



PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO		<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		Application Number	09/996,328
		Filing Date	November 28, 2001
		First Named Inventor	James J. Shelton
		Art Unit	
		Examiner Name	
Sheet 1 of 4	Attorney Docket Number	P01252US (98134.6P)	

U.S. PATENT DOCUMENTS					
Examiner Initials	Cite No. 1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code* (if known)			
MAC		US- 1,403,025	01-10-1922	HAASE	
		US- 2,093,619	09-21-1937	POWELL	
		US- 2,947,525	08-02-1960	KLEIN	
		US- 3,448,045	06-03-1969	E.A. HESS ET AL	
		US- 3,692,180	09-19-1972	LARAUS	
		US- 3,726,404	04-10-1973	TROGLIONE	
		US- 3,967,131	06-29-1976	SLIRIEC	
		US- 3,970,731	07-20-1976	OKSMAN	
		US- 4,019,986	04-26-1977	BURRIS ET AL	
		US- 4,035,657	07-12-1977	CARLSON	
		US- 4,410,495	10-18-1983	BASSLER	
		US- 4,764,349	08-16-1988	ARFF	
		US- 4,776,127	10-11-1988	JACKSON	
		US- 4,805,808	02-21-1989	LARSON	
		US- 4,842,723	06-27-1989	PARKS ET AL	
		US- 5,015,394	05-14-1991	MCELLHENNEY ET AL	
		US- 5,106,495	04-21-1992	HUGHES	
		US- 5,256,282	10-26-1993	CHANG	
		US- 5,295,519	03-22-1994	BAKER ET AL	
MAC		US- 5,328,059	07-12-1994	CAMPBELL	

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No. 1	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>2</sup>
		Country Code 3 - Number <sup>1</sup> - Kind Code <sup>4</sup> (if known)				
MAC		2 022 979 A	12-19-1979	SOLYMAR		
		0 163 750	12-11-1985			
		361,103,595	05-22-1986			
		WO/88/04279	06-16-1988	LARSON		
		WO/92/04969	04-02-1992	DENNIS		
		WO 93/17725	09-16-1993	BURRIS		
		WO 97/42924	11-20-1997	ENGELHARD, ET AL		
		EPO 739 312 B1	10-30-1996	SUTERA		
MAC		WO/00/38815	07-06-2000	Davis		

Examiner Signature	<i>MAC</i>	Date Considered	04/29/05
--------------------	------------	-----------------	----------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 608. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Alison\Alison2\98134.6P-IDS2

PTO/SB/08A (10-01)  
Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE  
Required to respond to a collection of information unless it contains a valid OMB

Substitute for form 1449A/PTO				<b>Complete If Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				Application Number	09/996,328
				Filing Date	November 28, 2001
				First Named Inventor	James J. Shelton
				Art Unit	
				Examiner Name	
Sheet	2	of	4	Attorney Docket Number	P01252US (98134.6P)

U.S. PATENT DOCUMENTS					
Examiner Initials <sup>2</sup>	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>3</sup> (If known)			
		US- 5,366,619	11-22-1994	MATSUI ET AL.	
		US- 5,431,861	07-11-1995	NAGAHIRO ET AL.	
		US- 5,503,809	04-02-1996	COATE	
		US- 5,531,908	07-02-1996	MATSUMOTO ET AL.	
		US- 5,567,322	10-22-1996	RUNDLE ET AL.	
		US- 5,582,717	12-10-1996	DI SANTO	
		US- 5,587,089	12-24-1996	VOGEL ET AL.	
		US- 5,632,268	05-27-1997	ELLIS ET AL.	
		US- 5,669,221	09-23-1997	LEBLEU ET AL.	
		US- 5,683,576	11-04-1997	OLSEN	
		US- 5,698,164	12-16-1997	KISHIOKA	
		US- 5,862,975	01-26-1999	CHILDRESS	
		US- 5,871,701	02-16-1999	LONG	
		US- 6,050,474	04-18-2000	AOTA ET AL.	
		US- 6,053,391	04-25-2000	HEIDEMAN ET AL.	
		US- 6,085,540	07-11-2000	DAVIS	
		US- 6,149,804	11-21-2000	CHUNG ET AL.	
		US- 6,270,733	08-07-2001	RODDEN	
		US- 6,289,690	09-18-2001	DAVIS	
		US- 6,361,686	03-26-2002	CONRAD	

[illegible]

Examiner Signature	<i>met ally</i>	Date Considered	4/28/05
--------------------	-----------------	-----------------	---------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard 2.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard 2.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

**Burden Hour Statement:** This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.**

Alison\Alison2\98134.6P-



### Complete If Known

*(Use as many sheets as necessary)*

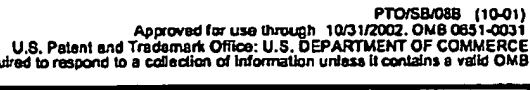
Application Number	09/996,328
Filing Date	November 28, 2001
First Named Inventor	James J. Shelton
Art Unit	
Examiner Name	
Attorney Docket Number	P01252US (98134.6P)

[illegible]

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \*Applicant's unique citation designation number (optional). \*See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 601.04. \*Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). \*For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. \*Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. \*Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9189 (1-800-786-9199) and select option 2.

[illegible]

Examiner Signature	<i>MacArthur</i>	Date Considered	04/20/05
--------------------	------------------	-----------------	----------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

**Burden Hour Statement:** This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.